

REGISTRY OF ELECTION FINANCE

The Registry of Election Finance met Wednesday, June 7, 2017 at Suite 104 of Parkway Towers, 404 James Robertson Parkway, Nashville, Tennessee.

Presiding over the meeting was Chairperson Tom Morton. Attending the meeting were board members Henry Fincher, William (Paz) Haynes, III, Patricia Heim and Tom Lawless.

Approval of Meeting Minutes

On motion by Lawless, seconded by Fincher, the board voted unanimously to approve the minutes from the April 12, 2017 meeting.

Show Cause Hearings

17-01 Jeremy Durham, for possible violations of campaign finance statutes. Class 2 (two), maximum ten thousand (\$10,000) civil penalty per violation. Mr. Durham has had no previous matters before the board.

At the Registry's June 8, 2016 meeting, Director Rawlins provided the board with a letter and attachments from the Office of the Attorney General concerning Mr. Jeremy Durham.

The board voted at its June 8, 2016 meeting to audit Mr. Durham's campaign from the 2014 election cycle forward and to issue subpoenas to any financial institutions involving his personal, campaign and business activity.

The board voted at its February 8, 2017 meeting to approve Mr. Durham's campaign audit with the included findings and to refer this matter to the Tennessee Ethics Commission. The board then voted to issue Mr. Durham a show cause notice on Findings 1 -10, 12 and 11(for failure to maintain adequate documentation as required by statute) and requested that Mr. Durham's response to the show cause notice be provided to the Registry by May 1, 2017 and that this matter be placed back on the Registry's June 2017 agenda.

After discussion, on motion by Fincher, seconded by Lawless, the board voted unanimously to admit Mr. Durham's response for identification purposes only.

After further discussion, the following motions were made on show cause items 1 through 12:

Show Cause Item 1

A motion was made by Fincher, seconded by Lawless, to treat Finding 1(a) as 29 separate violations. Fincher then amended the motion, accepted by Lawless, to treat Show Cause Item 1(a) as 29 separate violations and a systematic failure to report the contributions and assess Mr. Durham \$500 per violation. The motion to treat Show Cause

Item 1(a)(1) as 29 separate violations and assess Mr. Durham \$500 per violation (\$14,500 total) passed the board unanimously.

On motion by Fincher, seconded by Haynes, the board voted unanimously to assess Mr. Durham a \$5,000 civil penalty for systematic failure to report the contributions on Show Cause Item 1(a)(2).

On motion by Fincher, seconded by Lawless, the board voted unanimously to treat Show Cause Item 1(b) as six (6) separate violations and assess Mr. Durham \$5,000 per violation (\$30,000 total).

On motion by Fincher, seconded by Heim, the board voted unanimously to take no action on Show Cause Item 1(c).

On motion by Fincher, seconded by Heim, the board voted unanimously to assess Mr. Durham a \$5,000 civil penalty for Show Cause Item 1(d)(1).

On motion by Fincher, seconded by Lawless, the board voted unanimously to assess Mr. Durham a \$10,000 civil penalty for Show Cause Item 1(d)(2).

On motion by Fincher, seconded by Heim, the board voted 4 to1 to take no action on Show Cause Item 1(d)(3), with Lawless voting “no.”

On motion by Fincher, seconded by Lawless, the board voted 4 to1 to assess Mr. Durham a \$10,000 civil penalty for Show Cause Item 1(d)(4), with Haynes voting “no.”

A motion was made by Fincher, seconded by Heim, to assess Mr. Durham a \$1,000 civil penalty for Show Cause Item 1(d)(5). Fincher then amended the motion, accepted by Heim, to assess Mr. Durham a \$10,000 civil penalty for Show Cause Item 1(d)(5). The motion to assess Mr. Durham a \$10,000 civil penalty for Show Cause Item 1(d)(5) passed the board unanimously.

On motion by Fincher, seconded by Heim, the board voted unanimously to assess Mr. Durham a \$10,000 civil penalty for Show Cause Item 1(d)(6).

On motion by Fincher, seconded by Heim, the board voted unanimously to take no action on Show Cause Item 1(d)(7).

Show Cause Item 2

A motion was made by Fincher to treat Show Cause Item 2 as one (1) violation and assess Mr. Durham a \$2,000 civil penalty. Fincher then amended the motion, seconded by Lawless, to treat Show Cause Item 2 as three (3) violations and assess Mr. Durham \$1,000 per violation. The motion to treat Show Cause Item 2 as three (3) violations and assess Mr. Durham \$1,000 per violation (\$3,000 total) passed the board unanimously.

Show Cause Item 3

A motion was made by Fincher to assess Mr. Durham a \$10,000 civil penalty on Show Cause Item 3(a). The motion to assess Mr. Durham a \$10,000 civil penalty on Show Cause Item 3(a) died for a lack of a second. A motion was made by Heim, seconded by Lawless, to assess Mr. Durham a \$10,000 civil penalty on Show Cause Item 3(a). Heim then withdrew the motion. A motion was then made by Heim, seconded by Lawless, to assess Mr. Durham a \$5,000 civil penalty on Show Cause Item 3(a). The motion to assess Mr. Durham a \$5,000 civil penalty on Show Cause Item 3(a) passed the board 4 to 1, with Haynes voting “no.”

On motion by Heim, seconded by Haynes, the board voted unanimously to assess Mr. Durham a \$10,000 civil penalty on Show Cause Item 3(b).

On motion by Fincher, seconded by Lawless, the board voted unanimously to assess Mr. Durham a \$10,000 on Show Cause Item 3(c).

Show Cause Item 4

On motion by Fincher, seconded by Heim, the board voted unanimously to assess Mr. Durham a \$5,000 civil penalty on Show Cause Item 4(a).

On motion by Fincher, seconded by Lawless, the board voted unanimously to assess Mr. Durham a \$10,000 civil penalty on Show Cause Item 4(b).

On motion by Fincher, seconded by Lawless, the board voted unanimously to assess Mr. Durham a \$10,000 civil penalty on Show Cause Item 4(c).

Show Cause Item 5

On motion by Fincher, seconded by Heim, the board voted unanimously to take no action on Show Cause Item 5(a).

On motion by Fincher, seconded by Heim, the board voted unanimously to take no action on Show Cause Item 5(b).

Show Cause Item 6

A motion was made by Fincher, seconded by Haynes, to take no action on all of Show Cause Item 6. Fincher then withdrew the motion.

On motion by Heim, seconded by Fincher, the board voted unanimously to take no action on Show Cause Item 6(a).

On motion by Heim, seconded by Fincher, the board voted unanimously to take no action on Show Cause Item 6(b).

On motion by Heim, seconded by Fincher, the board voted unanimously to take no action on Show Cause Item 6(c).

On motion by Heim, seconded by Fincher, the board voted unanimously to take no action on Show Cause Item 6(d).

On motion by Heim, seconded by Fincher, the board voted unanimously to assess Mr. Durham a \$2,500 civil penalty on Show Cause Item 6(e).

On motion by Heim, seconded by Fincher, the board voted unanimously to take no action on Show Cause Item 6(f).

Show Cause Item 7

A motion was made by Fincher to take no action on Show Cause Item 7(a). Fincher then withdrew the motion. A motion was then made by Fincher, seconded by Heim, to take no action on Show Cause Item 7(a). The motion to take no action on Show Cause Item 7(a) passed the board unanimously.

On motion by Fincher, seconded by Heim, the board voted unanimously to treat Show Cause Item 7(b) as 208 separate violations and assess Mr. Durham \$1,000 per violation (\$208,000 total).

A motion was made by Fincher to assess Mr. Durham a \$1,000 civil penalty on Show Cause Item 7(c). Fincher then withdrew the motion. A motion was then made by Fincher, seconded by Heim, to assess Mr. Durham a \$2,500 civil penalty on Show Cause Item 7(c). The motion to assess Mr. Durham a \$2,500 civil penalty on Show Cause Item 7(c) passed the board unanimously.

A motion was made by Fincher, seconded by Lawless, to treat Show Cause Item 7(d) as one (1) violation and assess Mr. Durham a \$2,500 civil penalty. Fincher then withdrew the motion. A motion was then made by Heim, seconded by Fincher, to treat Show Cause Item 7(d) as nine (9) separate violations and assess Mr. Durham \$1,000 per violation. The motion to treat Show Cause Item 7(d) as nine (9) separate violations and assess \$1,000 per violation (\$9,000 total) passed the board unanimously.

On motion by Fincher, seconded by Heim, the board voted unanimously to assess Mr. Durham a \$1,000 civil penalty on Show Cause Item 7(e).

Show Cause Item 8

On motion by Heim, seconded by Lawless, the board voted unanimously to treat Show Cause Item 8 as 39 separate violations and assess Mr. Durham a \$1,000 civil penalty per violation for items 2, 4, 6, 7, 11, 12, 13, 15, 17, 18, 22, 23, 25, 26, 28, 29, 30, 31, 32, 33, 34 and 35 (\$22,000 total).

Show Cause Item 9

On motion by Lawless, seconded by Fincher, the board voted unanimously to assess Mr. Durham a \$10,000 civil penalty on Show Cause Item 9(a).

On motion by Heim, seconded by Fincher, the board voted unanimously to assess Mr. Durham a \$2,500 civil penalty on Show Cause Item 9(b).

Show Cause Item 10

A motion was made by Fincher to take no action on all of Show Cause Item 10. Fincher then withdrew the motion. A motion was then made by Heim, seconded by Lawless, to assess Mr. Durham a \$500 civil penalty for Item 4 in Show Cause Item 10. The motion to assess Mr. Durham a \$500 civil penalty for Item 4 in Show Cause Item 10 passed the board 4 to 1, with Fincher voting “no.”

On motion by Fincher, seconded by Haynes, the board voted 4 to 1 to take no action on Items 1, 2, 3, 5 and meals paid with campaign funds on days per diem was received with Lawless voting “no.”

Show Cause Item 11

On motion by Lawless, seconded by Fincher, the board voted unanimously to assess Mr. Durham a \$10,000 civil penalty.

Show Cause Item 12

On motion by Fincher, seconded by Lawless, the board voted unanimously to assess Mr. Durham a \$10,000 civil penalty for Show Cause Item 12(a).

On motion by Fincher, seconded by Lawless, the board voted unanimously to treat Show Cause Item 12(b) as three (3) separate violations and assess Mr. Durham \$10,000 per violation (\$30,000 total).

On motion by Fincher, seconded by Lawless, the board voted unanimously to assess Mr. Durham a \$10,000 civil penalty for Show Cause Item 12(c).

Without objection, the board voted unanimously to make the Show Cause Notice an exhibit for the Court Reporter.

The Registry requested staff to provide, at the next meeting, information regarding Durham PAC found during the audit of Jeremy Durham.

17-22 Patrick Hickey, for failure to file a 2016 4th Quarter campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Hickey has had previous matters before the board. (see below)

The board voted at its April 12, 2017 meeting to issue Mr. Hickey a show cause notice.

Mr. Hickey filed the report, which closed his campaign account, and personally appeared before the board and explained his failure to timely file the required report.

On motion by Heim, seconded by Fincher, the board voted unanimously to take no further action in this matter.

17-25 Steve Lane, for failure to file a 2016 4th Quarter campaign financial disclosure report. Class two (2), 65 days late, maximum ten thousand (\$10,000) civil penalty. Mr. Lane has had previous matters before the board. (see below)

The board voted at its April 12, 2017 meeting to issue Mr. Lane a show cause notice.

Mr. Lane personally appeared before the board and explained his failure to timely file the required report.

On motion by Heim, seconded by Fincher, the board voted unanimously to take no further action in this matter.

17-23 Memphis Progressives, for failure to file a 2016 4th Quarter campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. The organization has had previous matters before the board. (see below)

The board voted at its April 12, 2017 meeting to issue the organization a show cause notice.

London Lamar, representative of the organization, filed the report and personally appeared before the board and explained the organization's failure to timely file the required report.

On motion by Heim, seconded by Fincher, the board voted unanimously to take no further action in this matter.

Requests for Reconsideration

16-36 Diane George, for failure to file a 2016 Pre-Primary campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Ms. George has had a previous matter before the board. (see below)

The board voted at its September 14, 2016 meeting to issue Ms. George a show cause notice.

The board voted at its October 12, 2016 meeting to assess Ms. George a \$10,000 civil penalty. Ms. George requested a reconsideration of the \$10,000 civil penalty assessment.

Ms. George and Mark McBryde, representative for Ms. George, submitted statements for the board's consideration.

On motion by Heim, seconded by Haynes, the board voted unanimously to reconsider the \$10,000 civil penalty assessment. On motion by Heim, seconded by Fincher, the board voted 4 to 1 to reduce the civil penalty from \$10,000 to \$0, with Lawless voting "no."

16-77 Diane George, for failure to file a 2016 3rd Quarter campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Ms. George has had a previous matter before the board. (see above)

The board voted at its December 7, 2016 meeting to issue Ms. George a show cause notice.

The board voted at its February 8, 2017 meeting to assess Ms. George a \$10,000 civil penalty. Ms. George requested a reconsideration of the \$10,000 civil penalty assessment.

Ms. George and Mark McBryde, representative for Ms. George, submitted statements for the board's consideration.

On motion by Heim, seconded by Haynes, the board voted unanimously to reconsider the \$10,000 civil penalty assessment. On motion by Heim, seconded by Fincher, the board voted 4 to 1 to reduce the civil penalty from \$10,000 to \$0, with Lawless voting "no."

16-56 Memphis Progressives, for failure to file a 2016 Pre-Primary campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. The organization has had previous matters before the board. (see above and below)

The board voted at its September 14, 2016 meeting to issue the organization a show cause notice.

The board voted at its February 8, 2017 meeting to assess the organization a \$10,000 civil penalty. The organization requested a reconsideration of the \$10,000 civil penalty assessment.

London Lamar, representative of the organization, filed the report and personally appeared before the board and explained the organization's failure to timely file the required report.

On motion by Fincher, seconded by Heim, the board voted unanimously to reconsider the \$10,000 civil penalty assessment. On motion by Fincher, seconded by Lawless, the board voted unanimously to reduce the civil penalty from \$10,000 to \$0.

16-65 Memphis Progressives, for failure to file a 2016 2nd Quarter campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. The organization has had previous matters before the board. (see above and below)

The board voted at its December 7, 2016 meeting to issue the organization a show cause notice.

The board voted at its February 8, 2017 meeting to assess the organization a \$10,000 civil penalty. The organization requested a reconsideration of the \$10,000 civil penalty assessment.

London Lamar, representative of the organization, filed the report and personally appeared before the board and explained the organization's failure to timely file the required report.

On motion by Fincher, seconded by Heim, the board voted unanimously to reconsider the \$10,000 civil penalty assessment. On motion by Fincher, seconded by Lawless, the board voted unanimously to reduce the civil penalty from \$10,000 to \$0.

17-08 Memphis Progressives, for failure to timely file a 2016 3rd Quarter campaign financial disclosure report. Class two (2), 50 days late, maximum ten thousand (\$10,000) civil penalty. The organization has had previous matters before the board. (see above and below)

The board voted at its February 8, 2017 meeting to issue organization a show cause notice.

The board voted at its April 12, 2017 meeting to assess the organization a \$10,000 civil penalty. The organization requested a reconsideration of the \$10,000 civil penalty assessment.

London Lamar, representative of the organization, filed the report and personally appeared before the board and explained the organization's failure to timely file the required report.

On motion by Fincher, seconded by Heim, the board voted unanimously to reconsider the \$10,000 civil penalty assessment. On motion by Fincher, seconded by Lawless, the board voted unanimously to reduce the civil penalty from \$10,000 to \$0.

17-02 Memphis Progressives, for failure to timely file a 2016 Pre-General campaign financial disclosure report. Class one (1), 17 days late, maximum four hundred twenty-five (\$425) civil penalty. The organization has had previous matters before the board. (see above)

The board voted at its February 8, 2017 meeting to issue organization a show cause notice.

The board voted at its April 12, 2017 meeting to assess the organization a \$425 civil penalty. The organization requested a reconsideration of the \$425 civil penalty assessment.

London Lamar, representative of the organization, personally appeared before the board and explained the organization's failure to timely file the required report.

On motion by Fincher, seconded by Heim, the board voted unanimously to reconsider the \$10,000 civil penalty assessment. On motion by Fincher, seconded by Lawless, the board voted unanimously to reduce the civil penalty from \$10,000 to \$0.

17-05 White County Democratic Party, for failure to timely file a 2016 3rd Quarter campaign financial disclosure report. Class two (2), 53 days late, maximum ten thousand (\$10,000) civil penalty. The organization has had previous matters before the board. (see below)

The board voted at its February 8, 2017 meeting to issue organization a show cause notice.

The board voted at its April 12, 2017 meeting to assess the organization a \$5,000 civil penalty. The organization requested a reconsideration of the \$5,000 civil penalty assessment.

Geeta McMillan, chairperson of the organization, personally appeared before the board and explained the organization's failure to timely file the required report.

On motion by Heim, seconded by Fincher, the board voted unanimously to reconsider the \$5,000 civil penalty assessment. On motion by Heim, seconded by Fincher, the board voted unanimously to reduce the civil penalty from \$5,000 to \$0.

17-06 White County Democratic Party, for failure to timely file a 2016 Pre-General campaign financial disclosure report. Class two (2), 37 days late, maximum ten thousand (\$10,000) civil penalty. The organization has had previous matters before the board. (see above)

The board voted at its February 8, 2017 meeting to issue organization a show cause notice.

The board voted at its April 12, 2017 meeting to assess the organization a \$5,000 civil penalty. The organization requested a reconsideration of the \$5,000 civil penalty assessment.

Geeta McMillan, chairperson of the organization, personally appeared before the board and explained the organization's failure to timely file the required report.

On motion by Heim, seconded by Fincher, the board voted unanimously to reconsider the \$5,000 civil penalty assessment. On motion by Heim, seconded by Fincher, the board voted unanimously to reduce the civil penalty from \$5,000 to \$0.

17-17 Patrick Hickey, for failure to file a 2016 Pre-General campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Hickey has had previous matters before the board. (see above)

The board voted at its February 8, 2017 meeting to issue Mr. Hickey a show cause notice.

The board voted at its April 12, 2017 meeting to assess Mr. Hickey a \$10,000 civil penalty. Mr. Hickey requested a reconsideration of the \$10,000 civil penalty assessment.

Mr. Hickey filed the report, which closed his campaign account, and personally appeared before the board and explained his failure to timely file the required report.

On motion by Heim, seconded by Fincher, the board voted unanimously to reconsider the \$10,000 civil penalty assessment. On motion by Heim, seconded by Fincher, the board voted unanimously to reduce the civil penalty from \$10,000 to \$0.

17-15 Steve Lane, for failure to file a 2016 3rd Quarter campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Lane has had previous matters before the board. (see above)

The board voted at its February 8, 2017 meeting to issue Mr. Lane a show cause notice.

The board voted at its April 12, 2017 meeting to assess Mr. Lane a \$10,000 civil penalty. Mr. Lane requested a reconsideration of the \$10,000 civil penalty assessment.

Mr. Lane personally appeared before the board and explained his failure to timely file the required report.

On motion by Heim, seconded by Fincher, the board voted unanimously to reconsider the \$10,000 civil penalty assessment. On motion by Heim, seconded by Haynes, the board voted unanimously to reduce the civil penalty from \$10,000 to \$0.

Statements Submitted

17-20 Samuel David Duck, for failure to file a 2016 4th Quarter campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Butler has had no previous matters before the board.

The board voted at its April 12, 2017 meeting to issue Mr. Duck a show cause notice.

Mr. Duck filed the required report and submitted a sworn statement for the board's consideration.

On motion by Heim, seconded by Haynes, the board voted 4 to 1 to take no further action in this matter, with Lawless voting "no."

17-35 Cerner Corporation PAC, for failure to timely pay the 2017 Annual PAC Fee. Class one (1), two days late, maximum fifty (\$50) civil penalty. The organization has had no previous matters before the board.

The board voted at its April 12, 2017 meeting to issue the organization a show cause notice.

Marc E. Elkins and Andrea L. Young, representatives for the organization, submitted a statement and an affidavit for the board's consideration.

On motion by Heim, seconded by Lawless, the board voted unanimously to take no further action in this matter.

17-30 Fentress County Republican Party, for failure to file a 2016 4th Quarter campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. The organization has had no previous matters before the board.

The board voted at its April 12, 2017 meeting to issue the organization a show cause notice.

Rod Williams, representative for the organization, filed the required report and submitted a statement for the board's consideration.

On motion by Heim, seconded by Fincher, the board voted unanimously to take no further action in this matter.

17-31 Regions Financial Corporation PAC-TN, for failure to file a 2016 4th Quarter campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. The organization has had no previous matters before the board.

The board voted at its April 12, 2017 meeting to issue the organization a show cause notice.

Chris Scribner, treasurer for the organization, and Nkechi Moge kwu and Vicki Faucett, representatives of the organization, filed the required report and submitted sworn statements for the board's consideration.

On motion by Heim, seconded by Fincher, the board voted 4 to 0 to take no further action in this matter, with Lawless "abstaining."

17-40 Local IAFF 4381 PAC, for failure to file a 2016 4th Quarter campaign financial disclosure report with the Maury County Election Commission. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. The organization has had a previous matter before the board.

The board voted at its April 12, 2017 meeting to issue the organization a show cause notice.

Danny J. Hill, treasurer for the organization, filed the required report and submitted a sworn statement for the board's consideration.

On motion by Fincher, seconded by Heim, the board voted unanimously to take no further action in this matter and requested that the Registry notify the organization that it is not the local county election commission's responsibility to assist in the filing of the PAC's reports.

Cases Subject to Approval of Civil Penalty Order

17-18 Ed Butler, for failure to file a 2016 4th Quarter campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Butler has had no previous matters before the board.

Director Rawlins informed the board that the report has been filed.

The board voted at its April 12, 2017 meeting to issue Mr. Butler a show cause notice.

Director Rawlins informed the board that the report has been filed but that there has been no response to the show cause notice.

On motion by Heim, seconded by Fincher, the board voted unanimously to take no further action in this matter.

17-29 Robert Dunham, for failure to timely file a 2016 Year-End Supplemental campaign financial disclosure report. Class one (1), 15 days late, maximum three hundred seventy-five (\$375) civil penalty. Mr. Dunham has had no previous matters before the board.

The board voted at its April 12, 2017 meeting to issue Mr. Dunham a show cause notice.

Mr. Dunham submitted a statement for the board's consideration.

On motion by Fincher, seconded by Haynes, the board voted unanimously to take no further action in this matter.

17-21 G. A. Hardaway, for failure to file a 2016 4th Quarter campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Rep. Hardaway has had previous matters before the board.

The board voted at its April 12, 2017 meeting to issue Rep. Hardaway a show cause notice.

Rep. Hardaway filed the required report and personally appeared before the board and explained his failure to timely file the required report.

On motion by Heim, seconded by Haynes, the board voted unanimously to take no further action in this matter.

17-26 Michael McKamey, for failure to file a 2016 4th Quarter campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. McKamey has had previous matters before the board.

The board voted at its April 12, 2017 meeting to issue Mr. McKamey a show cause notice.

Director Rawlins informed the board that there has been no response to the show cause notice and that the report still has not been filed.

On motion by Heim, seconded by Lawless, the board voted unanimously to assess Mr. McKamey a \$10,000 civil penalty.

17-28 Billy Spivey, for failure to timely file a 2016 4th Quarter campaign financial disclosure report. Class one (1), 17 days late, maximum four hundred twenty-five (\$425) civil penalty. Mr. Spivey has had no previous matters before the board.

The board voted at its April 12, 2017 meeting to issue Mr. Spivey a show cause notice.

Director Rawlins informed the board that there has been no response to the show cause notice.

On motion by Heim, seconded by Lawless, the board voted unanimously to assess Mr. Spivey a \$425 civil penalty.

17-24 Joe Towns, Jr., for failure to file a 2016 4th Quarter campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Rep. Towns has had previous matters before the board.

The board voted at its April 12, 2017 meeting to issue Rep. Towns a show cause notice.

Director Rawlins informed the board that there has been no response to the show cause notice and that the report still has not been filed.

On motion by Heim, seconded by Lawless, the board voted unanimously to assess Rep. Towns a \$10,000 civil penalty.

17-27 Keith Williams, for failure to file a 2016 4th Quarter campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Williams has had no previous matters before the board.

The board voted at its April 12, 2017 meeting to issue Mr. Williams a show cause notice.

Director Rawlins informed the board that there has been no response to the show cause notice and that the report still has not been filed.

On motion by Heim, seconded by Lawless, the board voted unanimously to assess Mr. Williams a \$10,000 civil penalty.

17-33 West Tennessee Progress, for failure to timely file a 2016 4th Quarter campaign financial disclosure report. Class two (2), 48 days late, maximum ten thousand (\$10,000) civil penalty. The organization has had a previous matter before the board. (see above)

The board voted at its April 12, 2017 meeting to issue the organization a show cause notice.

Director Rawlins informed the board that there has been no response to the show cause notice.

On motion by Heim, seconded by Lawless, the board voted unanimously to assess the organization a \$465 civil penalty.

17-32 Alkermes, for failure to timely pay the 2017 Annual PAC Fee. Class one (1), three days late, maximum seventy-five (\$75) civil penalty. The organization has had no previous matters before the board.

The board voted at its April 12, 2017 meeting to issue the organization a show cause notice.

Director Rawlins informed the board that there has been no response to the show cause notice.

On motion by Heim, seconded by Fincher, the board voted unanimously to assess the organization a \$75 civil penalty.

17-36 Nashville PAC, for failure to timely pay the 2017 Annual PAC Fee. Class one (1), three days late, maximum seventy-five (\$75) civil penalty. The organization has had no previous matters before the board.

The board voted at its April 12, 2017 meeting to issue the organization a show cause notice.

Director Rawlins informed the board that there has been no response to the show cause notice.

On motion by Heim, seconded by Lawless, the board voted unanimously to assess the organization a \$75 civil penalty.

17-34 Phrma Tennessee PAC, for failure to timely pay the 2017 Annual PAC Fee. Class one (1), nine days late, maximum two hundred twenty-five (\$225) civil penalty. The organization has had no previous matters before the board.

The board voted at its April 12, 2017 meeting to issue the organization a show cause notice.

Kate A. Belinski, representative for the organization, submitted a statement for the board's consideration.

On motion by Heim, seconded by Fincher, the board voted unanimously to take no further action in this matter.

17-33 Tennessee Distillers PAC, for failure to timely pay the 2017 Annual PAC Fee. Class one (1), three days late, maximum seventy-five (\$75) civil penalty. The organization has had previous matters before the board.

The board voted at its April 12, 2017 meeting to issue the organization a show cause notice.

Director Rawlins informed the board that there has been no response to the show cause notice.

A motion was made by Fincher to take no further action. The motion to take no further action died for a lack of a second. A motion was then made by Heim, seconded by Lawless, to assess the organization a \$75 civil penalty. The motion to assess the organization a \$75 civil penalty failed 3 to 2, with Fincher and Haynes voting "no."

17-41 Michael Sparks, for failure to correct a 2015 Year-End Supplemental campaign financial disclosure report. Class two (2), corrected report not filed, maximum ten thousand (\$10,000) civil penalty. Rep. Sparks has had previous matters before the board.

The board voted at its April 12, 2017 meeting to issue Rep. Sparks a show cause notice.

Director Rawlins informed the board that Rep. Sparks requested that the board defer any further action in this matter until the next regularly scheduled meeting to allow him further opportunity to correct the report and respond to the show cause notice.

Without objection, the board voted unanimously to defer any further action in this matter until the next regularly scheduled meeting to allow Rep. Sparks further opportunity to correct his report and respond to the show cause notice.

17-37 Matt Milligan, for failure to file a 2016 Mid-Year Supplemental campaign financial disclosure report with the Williamson County Election Commission. Class two

(2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Milligan has had previous matters before the board. (see below)

The board voted at its April 12, 2017 meeting to issue Mr. Milligan a show cause notice.

Director Rawlins informed the board that there has been no response to the show cause notice and that the report still has not been filed.

On motion by Heim, seconded by Lawless, the board voted unanimously to assess Mr. Milligan a \$10,000 civil penalty.

17-38 Matt Milligan, for failure to file a 2016 Year-End Supplemental campaign financial disclosure report with the Williamson County Election Commission. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Milligan has had previous matters before the board. (see above)

The board voted at its April 12, 2017 meeting to issue Mr. Milligan a show cause notice.

Director Rawlins informed the board that there has been no response to the show cause notice and that the report still has not been filed.

On motion by Heim, seconded by Lawless, the board voted unanimously to assess Mr. Milligan a \$10,000 civil penalty.

Cases Considered for Issuance of Show Cause Notice

CAM PAC, for failure to timely pay the 2017 Annual PAC Fee. Class two (2), 51 days late, maximum ten thousand (\$10,000) civil penalty. The organization has had no previous matters before the board.

On motion by Heim, seconded by Lawless, the board voted unanimously to issue a show cause notice.

Clear PAC, for failure to pay the 2017 Annual PAC Fee. Class two (2), fee not paid, maximum ten thousand (\$10,000) civil penalty. The organization has had no previous matters before the board.

On motion by Heim, seconded by Lawless, the board voted unanimously to issue a show cause notice.

Envision Tennessee, for failure to timely pay the 2017 Annual PAC Fee. Class one (1), six days late, maximum one hundred fifty (\$150) civil penalty. The organization has had no previous matters before the board.

On motion by Heim, seconded by Lawless, the board voted unanimously to issue a show cause notice.

G4S TN PAC, for failure to timely pay the 2017 Annual PAC Fee. Class one (1), 10 days late, maximum two hundred fifty (\$250) civil penalty. The organization has had no previous matters before the board.

On motion by Heim, seconded by Lawless, the board voted unanimously to issue a show cause notice.

Leaders of Tennessee, for failure to pay the 2017 Annual PAC Fee. Class two (2), fee not paid, maximum ten thousand (\$10,000) civil penalty. The organization has had no previous matters before the board.

On motion by Heim, seconded by Lawless, the board voted unanimously to issue a show cause notice.

My Home, My Tennessee, for failure to pay the 2017 Annual PAC Fee. Class two (2), fee not paid, maximum ten thousand (\$10,000) civil penalty. The organization has had no previous matters before the board.

On motion by Heim, seconded by Lawless, the board voted unanimously to issue a show cause notice.

Neuro-Spine Committee, for failure to timely pay the 2017 Annual PAC Fee. Class one (1), 23 days late, maximum five hundred seventy-five (\$575) civil penalty. The organization has had no previous matters before the board.

On motion by Heim, seconded by Lawless, the board voted unanimously to issue a show cause notice.

Overmountain PAC, for failure to timely pay the 2017 Annual PAC Fee. Class two (2), 55 days late, maximum ten thousand (\$10,000) civil penalty. The organization has had no previous matters before the board.

On motion by Heim, seconded by Lawless, the board voted unanimously to issue a show cause notice.

Rutherford Education Assn Friends of Children & Pub Education, for failure to pay the 2017 Annual PAC Fee. Class two (2), fee not paid, maximum ten thousand (\$10,000) civil penalty. The organization has had no previous matters before the board.

On motion by Heim, seconded by Lawless, the board voted unanimously to issue a show cause notice.

RY-PAC, for failure to timely pay the 2017 Annual PAC Fee. Class one (1), 27 days late, maximum six hundred seventy-five (\$675) civil penalty. The organization has had no previous matters before the board.

On motion by Heim, seconded by Lawless, the board voted unanimously to issue a show cause notice.

Southern Futures PAC, for failure to timely pay the 2017 Annual PAC Fee. Class two (2), 45 days late, maximum ten thousand (\$10,000) civil penalty. The organization has had previous matters before the board.

On motion by Heim, seconded by Lawless, the board voted unanimously to issue a show cause notice.

Stand for Children, Inc. (Ind. Exp. Committee), for failure to timely pay the 2017 Annual PAC Fee. Class two (2), 75 days late, maximum ten thousand (\$10,000) civil penalty. The organization has had no previous matters before the board.

On motion by Heim, seconded by Lawless, the board voted 4 to 0 to issue a show cause notice, with Haynes “abstaining.”

Stand PAC of Tennessee, for failure to timely pay the 2017 Annual PAC Fee. Class two (2), 75 days late, maximum ten thousand (\$10,000) civil penalty. The organization has had no previous matters before the board.

On motion by Heim, seconded by Lawless, the board voted 4 to 0 to issue a show cause notice, with Haynes “abstaining.”

Tennesseans 4 Ethics in Government, for failure to pay the 2017 Annual PAC Fee. Class two (2), fee not paid, maximum ten thousand (\$10,000) civil penalty. The organization has had no previous matters before the board.

On motion by Heim, seconded by Lawless, the board voted unanimously to issue a show cause notice.

TN 20/20, for failure to pay the 2017 Annual PAC Fee. Class two (2), fee not paid, maximum ten thousand (\$10,000) civil penalty. The organization has had no previous matters before the board.

On motion by Heim, seconded by Lawless, the board voted unanimously to issue a show cause notice.

TN Refined, for failure to pay the 2017 Annual PAC Fee. Class two (2), fee not paid, maximum ten thousand (\$10,000) civil penalty. The organization has had no previous matters before the board.

On motion by Heim, seconded by Lawless, the board voted unanimously to issue a show cause notice.

Winning Our Liberty, for failure to pay the 2017 Annual PAC Fee. Class two (2), fee not paid, maximum ten thousand (\$10,000) civil penalty. The organization has had no previous matters before the board.

On motion by Heim, seconded by Lawless, the board voted unanimously to issue a show cause notice.

Women for All of Tennessee, for failure to pay the 2017 Annual PAC Fee. Class two (2), fee not paid, maximum ten thousand (\$10,000) civil penalty. The organization has had no previous matters before the board.

On motion by Heim, seconded by Lawless, the board voted unanimously to issue a show cause notice.

Amy Broyles, for failure to file a 2016 Year-End Supplemental campaign financial disclosure report with the Knox County Election Commission. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Ms. Broyles has had previous matters before the board.

On motion by Heim, seconded by Lawless, the board voted unanimously to issue a show cause notice.

Tracy Clough, for failure to timely file a 2016 Year-End Supplemental campaign financial disclosure report with the Knox County Election Commission. Class one (1), five days late, maximum one hundred twenty-five (\$125) civil penalty. Ms. Clough has had no previous matters before the board.

On motion by Heim, seconded by Lawless, the board voted unanimously to take no action in this matter.

James “Brandon” Hamilton, for failure to timely file a 2016 3rd Quarter campaign financial disclosure report with the Knox County Election Commission. Class one (1), eight days late, maximum two hundred (\$200) civil penalty. Mr. Hamilton has had no previous matters before the board.

On motion by Heim, seconded by Lawless, the board voted unanimously to take no action in this matter.

Kimberly Ann Little, for failure to file a 2016 4th Quarter campaign financial disclosure report with the Williamson County Election Commission. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Ms. Little has had no previous matters before the board.

On motion by Heim, seconded by Lawless, the board voted unanimously to issue a show cause notice.

Debbie Matthews, for failure to file a 2016 4th Quarter campaign financial disclosure report with the Maury County Election Commission. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Ms. Matthews has had no previous matters before the board.

On motion by Heim, seconded by Lawless, the board voted unanimously to issue a show cause notice.

Rescind Show Cause Notice

17-19 Bryan Dodson, for failure to file a 2016 4th Quarter campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Dodson has had a previous matter before the board.

The board voted at its April 12, 2017 meeting to issue Mr. Dodson a show cause notice.

Director Rawlins requested that the board rescind the show cause notice because Mr. Dodson filed the 3rd Quarter report which closed his campaign account and therefore he does not owe a 4th Quarter report.

On motion by Fincher, seconded by Lawless, the board voted unanimously to rescind the show cause notice.

Discussion of Request for Contested Case Hearing

16-30 Tennessee Liberty Fund, for failure to timely file a 2016 1st Quarter campaign financial disclosure report. Class two (2), 36 days late, maximum ten thousand (\$10,000) civil penalty. The organization has had a previous matter before the board.

The board voted at its August 10, 2016 meeting to issue the organization a show cause notice.

The board voted at its September 14, 2016 meeting to assess the organization a \$4,000 civil penalty. The organization requested a Contested Case Hearing on this matter.

Heather Scott, representative for the organization, personally appeared before the board.

A motion was made by Lawless, seconded by Fincher, to proceed with a Contested Case Hearing with an Administrative Law Judge without the Registry board present.

Executive Director's Report

- Director Rawlins provided the board with two requests for civil penalty agreements from the Attorney General's Office regarding Larry Drolsum and William Stovall. Both requests ask for a settlement offer of 50% of the civil penalties with the remaining balances to be paid on payment plans.

Without objection, the board voted unanimously to accept the civil penalty agreements regarding Larry Drolsum and William Stovall. On motion by Lawless, seconded by Fincher, the board voted unanimously that any civil penalty request from the Attorney General's Office that reduces a civil penalty more than 50% should be brought before the board for a decision. (Civil penalty requests that reduce less than 50% should be handled by the Executive Director.)

Other Business

Without objection, the board voted unanimously to schedule the next Registry meeting for August 9, 2017.

Without objection, the board voted unanimously to adjourn the meeting until the next regularly scheduled meeting.